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PATENT
Attorney Docket No. 3414

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Matsuzuki, et al.

Examiner: TBD

Serial No: 09/916,135

Group Art Unit: TBD

Filing Date: July 25, 2001

Title: Complexity Management of
Genomic DNA

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Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b). Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,

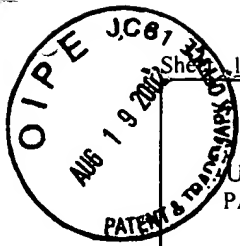
Sandra Wells

Sandra Wells

Reg. No.: P-52,349

Date:

[Signature] Aug 12, 2002



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| PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT | ATTY. DOCKET NO.: 3414 | SERIAL NUMBER: 09,916,135 |
| | APPLICANT: Matsuzuki, et al. | |
| | FILING DATE: July 25, 2001 | GROUP ART UNIT: TBD |

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|--------------------|----------|------------------|-------|--------------|----------------|
| | 5,565,340 | 10/15/96 | Chenchik, et al. | | | 1/27/95 |
| | 5,759,822 | 6/2/98 | Chenchik, et al. | | | 2/26/96 |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLA TION YES/NO |
|---------------------|--------------------|------|---------|-------|--------------|---------------------------|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant. | |

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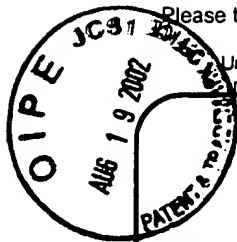
PTO/SB/21 (6-98)

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Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/916,135

Filing Date July 25, 2001

First Named Inventor Matsuzaki, et al.

Group Art Unit TBD

Examiner Name TRD

Total Number of Pages in This Submission

Attorney Docket Number 3414

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
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 - ☐ After Final
 - ☐ Affidavits/declaration(s)
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- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Additional Enclosure(s) (please identify below):

Form 1449
Two (2) References
Return Receipt Postcard

Remarks

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-0431.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Sandra Wells, Reg. No.: P-52,349

Signature

Sandra Wells

Date

August 12, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 12, 2002

Typed or printed name

Sylvia Rogers

Signature

Sylvia Rogers

Date

August 12, 2002

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